



WALLACE  
COMMUNITY  
COLLEGE  
Dothan • Eufaula

## **Bid Invitation # 1641**

### **Charter Bus Services**

**Bid Issue Date:** Wednesday, July 30, 2025  
**Bid Opening Date:** Wednesday, August 20, 2025  
**Bid Opening Location:** Grimsley Hall Room 179

**Submit bid proposal to:**  
Wallace Community College – Dothan  
Business Affairs Office  
Bid #1641  
1141 Wallace Drive  
Dothan, AL 36303

**Direct all bid inquiries to:**  
Lauren Tidwell  
Phone: 334-556-2288  
Email: [ltidwell@wallace.edu](mailto:ltidwell@wallace.edu)

## INSTRUCTIONS TO BIDDERS

1. All bids should be submitted in a ***sealed*** envelope with the bid number, bid name, and opening date clearly marked on the outside of the envelope. Bids can be submitted by mail or in person at Wallace Community College – Dothan, Business Office, 1141 Wallace Drive, Dothan, AL 36303.
2. Bids must be received prior to the bid opening date and time. Late bids will not be considered.
3. All forms must be completed in ink and signed/initialed/notarized/witnessed where required. Pencil will not be accepted.
4. Wallace Community College - Dothan reserves the right to reject any or all bids, or any part thereof, and to waive any technicality in the bidding in the best interest of the Institute. Bids will be awarded in a manner that appears to be in the best interest of Wallace Community College - Dothan. Bids may be awarded to multiple bidders.
5. All bids must be **notarized**.
6. Bid prices are not to include tax. Tax exemption certificate furnished upon request.
7. Bidder shall, at its sole expense, procure and keep in effect all necessary permits and licenses required for its performance of the requested work or service.
8. The successful bidder must provide a copy of the current state, county, or city business license, general contractor's license, or applicable license as required by law.
9. All bidders are required to complete a Disclosure Statement. Act 2002-955 requires the disclosure statement to be completed and filed with all proposals, bids, contracts, or grant proposals to the State of Alabama in excess of \$25,000. Any changes to the status of the information on this form will require the submission of an updated form to the college.
10. All bidders must have sufficient capability and capacity to provide the materials and full scope of services requested herein.
11. Agree to hold Wallace College - Dothan harmless to all claims, loss, or liability with regard to the destruction of property or the injury of persons in the service area.
12. Attend meetings, if necessary, with College representatives, which may include a pre-bid meeting, pre-contract meeting, and occasional progress meetings. The date, time, and location of these meetings will be provided as scheduled.
13. Payment shall be contingent on the College's inspection of and satisfaction with completed work or materials.
14. Any defective work or materials, non-conformance to bid specifications, damaged materials, or unsatisfactory installation shall be corrected to the College's satisfaction by the successful bidder at no additional charge.
15. If a requested item or service cannot be furnished as specified, a substitute may be made by giving a full description of the item or service being bid.

16. Correction of errors in evaluation factors will not be allowed after bid opening. However, the College reserves the right to waive or allow correction of technical errors in accordance with Alabama Code, Section 41-4-132.
17. The Beason-Hammon Alabama Taxpayer and Citizen Protection Act (Act 2011-535) requires that, as a condition for the award of a contract by a government agency to a business entity or employer with one or more employees working in Alabama, **the business entity or employer must provide documentation of enrollment in the E-Verify program.** During the performance of the contract, the business entity or employer shall participate in the E-Verify program and shall verify every employee that is required to be verified according to the applicable federal rules and regulations. If you do not believe these requirements are applicable to your entity, include an explanation justifying such exemption. An entity can obtain the E-Verify Memorandum of Understanding upon completion in the E-Verify enrollment process located at the Federal website [www.e-verify.gov](http://www.e-verify.gov). The Alabama Department of Homeland Security <http://immigration.alabama.gov> has also established an E-Verify employer agent account for any business entity or employer with 25 or fewer employees that will provide a participating business entity or employer with the required documentation of enrollment in the E-Verify program. An Employee Identification Number (EIN) also known as a Federal Tax Identification Number, is required to enroll in E-Verify or to establish an E-Verify employer agent account. **The contractor's E-Verify Memorandum of Understanding must be supplied before contract award.**

**A. DESCRIPTION OF SERVICES**

The contract will be between Wallace Community College – Dothan, known as the “College,” and the successful bidder(s), known as the “Contractor,” for Charter Bus Services. Wallace Community College – Dothan is now seeking bids from qualified and experienced transportation firms to provide Charter Bus Services for both in-state and out-of-state travel. Only providers with a satisfactory safety rating with the Federal Motor Carrier Safety Administration will be considered.

**GENERAL VENDOR REQUIREMENTS**

1. Have sufficient capability and capacity to provide the full scope of services requested herein.
2. Provide all materials and supplies necessary to perform the Contract.
3. Provide necessary vehicle, liability, and workman’s compensation insurance as currently required by Alabama statute.
4. Agree to hold Wallace College harmless from all claims, loss, or liability with regard to the destruction of property or the injury of persons in the service area.
5. Provide a point of contact to act as contract manager to ensure compliance with contract provisions.
6. Attend meetings as needed with College representatives, which may include a pre-bid meeting, pre-contract meeting, and occasional resolution/clarification meetings. The date, time, and location of these meetings will be provided as scheduled.
7. Each vendor will assume the responsibility for visiting the site of the proposed work and fully inspecting the site to familiarize themselves with the existing conditions relating to the construction and labor.
8. Prior to beginning any work, the Bidder shall secure the appropriate Business License. Should the Bidder already have a license, please attach a copy of the Business License to your sealed bid.

**B. SPECIFICATIONS FOR CHARTER BUS SERVICES**

1. **GENERAL**: The College will need occasional transportation services from a qualified and experienced firm for the potential of both in-state and out-of-state travel. Travel may have a same-day return or may occasionally require overnight stays. Travel typically requires transport with the capacity to accommodate 20-50 passengers at a time. Multiple buses or other modes of transport may be required simultaneously. The College is seeking a provider that can also supply ADA-compliant vehicles if/when there is a need.
2. **VEHICLES**: All vehicles must be in good condition, have fully functioning air conditioning/heating, and interior lighting. Large capacity vehicles must also have a bathroom. All maintenance records for vehicles must be available to the College for inspection, if requested. Vendor shall ensure that all vehicles are in good condition, cosmetically and mechanically, thoroughly cleaned with restrooms that are sanitized and stocked with toilet paper and soap or hand sanitizer prior to boarding College personnel or students.

All large capacity buses must have under-the-bus storage/baggage compartments with functioning locks, and storage/baggage areas for lower capacity vehicles must be available to meet the needs of the reserving College department. The Contractor must use only their company-owned or leased

vehicles. In the event of mechanical malfunctions or breakdowns, the Contractor will be responsible for providing replacement transportation for the College so that they arrive as scheduled. Any and all costs incurred for the replacement transportation, mechanical repairs, or towing services will be the responsibility of the Contractor. Any back-up or replacement vehicles used must meet the same requirements as those listed in this bid.

The Contractor must provide a Vehicle Inventory List that includes, at a minimum, the year, make, and model of all available vehicles, type and size, rider capacity, as well as the passenger amenities of each vehicle, such as charging outlets, passenger Wi-Fi, satellite TV, ADA equipped, etc.

The College reserves the right to refuse service or request an alternate vehicle if the supplied vehicle is determined to be unsafe according to current Federal Regulations.

3. **SERVICES**: Service scheduling must be flexible, including the ability to change itinerary if necessary, adding up to twenty (20) total miles in distance or two (2) hours in duration. While there is no guarantee as to the number of charters that will be requested, or the distance/duration of said charters, the annual spend on these services is anticipated to average between \$90,000 - \$120,000 per year.

Trips cannot be subcontracted to another transportation company or individual without the prior written approval of the College (other than those subcontractors already approved through this bid in response to Section B.4). Any service the Contractor proposes to sublease to a third-party carrier must first be approved by the College and the Contractor will still be responsible for meeting all requirements of this bid along with being held liable for all actions of the third-party carrier. Any and all approved third parties must comply with all requirements of this bid and all applicable Federal and State of Alabama Regulations.

Contractor shall have emergency and critical incident procedures and arrangements in place to protect passengers and minimize disruption from on-road incidents and vehicle system failures.

Bid response must detail standard reservation procedures and lead time required to make vehicle reservations. Contractor must also include any requirements or fees associated with reservation alterations or cancellations. Cancellation requests, if they occur, typically arise from unexpected weather conditions, changes in schedules, or tournament results that affect athletic schedules. Any penalties that would be imposed must be detailed in the Contractor's bid response.

Procedures should also be detailed for how the Contractor will notify the College if there is an issue with a reservation and/or the vehicle will be late to arrive, including any credit provided to the College in those instances.

4. **DRIVERS**: The Vendor should require that all drivers be appropriately licensed, trained and regularly evaluated for driving skills and compliance with safety regulations applicable in Alabama as well as applicable regulations by the Federal Motor Carrier Safety Administration. All drivers must possess a valid state-issued driver's license qualifying them to operate the vehicles; a valid DOT medical card may also be necessary, depending upon the vehicle and details of travel. The Contractor is responsible for ensuring that all drivers stay informed and compliant with any and all revisions to the CDL requirements, and the Contractor will be held responsible for any failures to remain compliant. Contractor must comply with the federal hours-of-service regulations for CDL drivers and must ensure that all drivers are certified as physically and medically qualified and are able to produce their certificate at all times while on duty. The certification should include the required notification of traffic citations, and drug/alcohol testing to include, but not limited to, pre-testing, random testing, and post-accident testing. The contractor is responsible for ensuring that drivers perform the proper pre and post-trip vehicle inspections. The Contractor should provide documentation of their policies that validates compliance with these requirements, but do not send any personally identifying information or copies of driver's licenses. A copy of the Contractor's certification and training procedures must also be provided.

Drivers must stay with their vehicle and be available for contact at any time during the trip, unless specifically excused by the designated group point-of-contact. To meet this requirement, every vehicle/driver must have a fully functioning cell phone available at all times. Drivers must obey all applicable state and federal laws regarding cell phone use while driving.

Contractor must provide a complete and current copy of its Drug & Alcohol policy with this bid response.

In the bid response, the Contractor shall provide a report detailing the accident ratio per 100,000 miles, including details for each accident that occurred in the last twelve (12) months that reporting which party was at fault and if the accident was minor, significant, or major, and if a fatality was involved. For the purpose of this bid, "minor" is considered having sustained under \$500.00 in damage, "significant" is considered having sustained between \$500.00 and \$2,000.00 in damage, and "major" is having over \$2,000.00 in damage.

For trips requiring an overnight stay, the College department requesting charter services will accept responsibility to provide one hotel room for the sole occupancy of charter service staff for the duration of the trip. The College will not pay any fees for extra luxuries such as room service, internet access, rented movies, etc. Driver meals will be paid for through the designated point-of-contact, via College credit card, at the same time student and/or employee meals are paid for.

### C. FINANCIAL TERMS

1. The Vendor should only accept and process sales orders that are accompanied by a Purchase Order. The College is not financially liable for orders that are not pre-approved through the Purchase Order process.
2. Payment to the Vendor will be made after the completion of each order/service and after submission of an invoice by the Vendor. The Vendor shall provide any administrative documentation required, such as disclosure statements, tax identification forms, etc., necessary for the successful processing of payment.
3. The standard arrangement for invoicing shall be single invoices, meaning the Vendor shall provide the College with an invoice for each separate order. Invoices must include specific line-item information, which includes separate line items for each charge, to allow the College to verify that invoiced pricing matches the pricing established through this bid.

#### D. BID EVALUATION

Proposals will be ranked in order from least cost/most beneficial to the College to the highest cost/least beneficial to the College in each attribute. The review for items (2) and (3) will be subjective and based on the information provided by the bidder. The College will evaluate each Proposal submitted based on the following criteria:

1. **PRICING:** Bidders should submit pricing that fully considers all aspects of Section C. The pricing should not include sales tax and should include all applicable costs such as labor, equipment, materials, transport/delivery charges, fuel cost, training materials, etc. Bidders should consider any possible fluctuation costs in the bid pricing.

Price per mile for 56 (at least passenger charter bus): \$ \_\_\_\_\_

Price per mile for 22-26 passenger executive charter bus: \$ \_\_\_\_\_

- ## 2. SERVICE AND FLEXIBILITY:

Bid response must include details of the standard reservation procedures and lead time to make vehicle reservations. The Contractor must also include any requirements or fees associated with reservation alterations or cancellations, as well as any penalties that would be imposed.

3. **EXPERIENCE AND REFERENCES:** The Vendor shall demonstrate experience with public and/or private sector clients of similar scope and size to the College by providing at least three (3) references using the enclosed Vendor Reference Form.

- |  |            |
|--|------------|
| 1) Pricing of specified services/materials | 50%        |
| 2) Service and flexibility                 | 25%        |
| 3) Experience and references               | <u>25%</u> |
| Total:                                     | 100%       |

**E. TERMINATION**

The College reserves the right to terminate the Contract for non-performance should the Vendor not meet the criteria of the Contract. If the Vendor does not meet the Contract requirements, the College will issue a cure notice specifying the deficiencies and allowing a time for correction (normally 10 days). If the deficiencies are not corrected in the time frame specified, the College may terminate the Contract. Either party reserves the right to terminate this contract with a 90-day written notice. If either party chooses to terminate this contract, the agreed-upon services should continue at the terms specified herein throughout the termination period.

**F. RIGHT TO AWARD**

The College reserves the right to award this contract, or any portion of this contract, to a successful bidder, to negotiate with any or all bidders, to reject, accept, and/or reconcile technical errors and to make any other decision which is considered in the best interest of the College in accordance with the provisions of The Code of Alabama 1975, Section 41-4-132. This contract will not be awarded solely on the basis of the lowest price offered but on the best overall value, quality, and timeliness for the College.

**G. BID QUESTIONS**

Upon review of the bid documents, Vendors may have questions to clarify or interpret the bid in order to submit the best bid possible. Vendors should submit any such questions by the above due date.

Written questions should be emailed to [ltidwell@wallace.edu](mailto:ltidwell@wallace.edu) by the date/time specified above. Vendors should enter “Bid #1641 Charter Bus Services: Questions” as the subject for the email. Questions should include reference to the applicable bid section.

Questions received prior to the submission date, the College’s response(s), and any additional terms deemed necessary by the College will be posted in the form of an addendum to the College’s Bid Solicitation webpage <https://www.wallace.edu/about/bid-solicitation/> and shall become an Addendum to this bid. No information, instruction or advice provided orally or informally by any College personnel, whether made in response to a question or otherwise in connection with the bid, should be considered authoritative or binding. Vendors should rely only on the written material contained in an Addendum to this bid.

**H. BID SUBMITTAL**

Vendors shall bear the risk for late submission due to unintended or unanticipated delay or technical issue. It is the Vendor’s sole responsibility to ensure its proposal has been received by the College by the specified date and time of opening. Any bid received or attempted to be submitted after the deadline will be rejected.

All bids should be submitted in a sealed envelope with the bid number, bid name, and opening date clearly marked on the outside of the envelope. Bids can be submitted by mail or in person at Wallace Community College – Dothan, Business Office, 1141 Wallace Drive, Dothan, AL 36303.

Critical updates may be included in the Addenda to this bid. It is important that all participating Vendors periodically check the College’s Bid Solicitation webpage <https://www.wallace.edu/about/bid-solicitation/> for any Addenda that may be issued prior to the proposal due date. All Vendors shall be



deemed to have read and understood all information in this bid and all Addenda thereto. Bid submissions shall be in accordance with the terms and conditions herein and any addenda issued hereafter.

**I. BID SCHEDULE**

The table below is the intended schedule related to this bid, which the College will make every effort to adhere to.

Event	Responsibility	Day, Date, and Time
Issue Bid/RFP	The College	Wednesday, July 30, 2025
Submit Written Questions	Vendor	Wednesday, August 6, 2025 by 12:00 CST
Response to Written Questions	The College	Monday, August 11, 2025
Submit Bid/Proposal	Vendor	Wednesday, August 20, 2025 by 2:00 CST
Contract Award	The College	TBD

**J. BID SUBMITTAL CONTENTS**

Below are the requirements necessary for a complete bid packet. These requirements include any authorized signatures, where requested. Vendor submissions should include the following items and should be arranged in the following order.

1. Bid Agreement: The Bid Agreement must include all pages of this bid document.
2. Vendor Contact Form: Include the company name, physical address, mailing address, company phone number, the authorized representative's name, title, direct phone number, and email address.
3. Disclosure Statement: Completed, signed, and notarized.
4. Beason-Hammon: Completed, signed, and witnessed.
5. W9 Tax Form: Completed and signed.
6. E-Verify MOU: Include a copy of your E-Verify Memorandum of Understanding (MOU).

**K. ACKNOWLEDGMENT/CERTIFICATION**

By executing this bid, the undersigned certifies to all of the following and acknowledges the contract may be declared void if it is discovered these certifications are false:

1. In compliance with Alabama Code 41-16-5, the contractor hereby certifies that it is not currently engaged in, and will not engage in, the boycott of a person of an entity based in, or doing business with, a jurisdiction with which this State can enjoy open trade.
2. As required by Alabama Code 41-4-142, the undersigned certifies to the best of the vendor's knowledge and belief, that it and its principals are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from covered transactions by any Federal or State department or agency.
3. As required by Alabama Code 41-4-142, the undersigned certifies that it, its contractors, or affiliates are appropriately registered to collect and remit sales and use tax, or simplified sellers use tax and lease tax.
4. As required by the Beason-Hammon Alabama Taxpayer and Citizen Protection Act (Act 2011-535), the undersigned vendor certifies that it, and each of its sub-contractors for any contract awarded as a result of this bid/proposal, complies with the requirements of Act 2011-535, including the requirement for each employer in Alabama to verify the work authorization of its employees through the federal E-Verify system.
5. I affirm I have not been in any agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid, at a fixed price, or to refrain from bidding or otherwise.

Firm: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Print Name: \_\_\_\_\_

Terms: \_\_\_\_\_

Title: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Phone: \_\_\_\_\_

Email: \_\_\_\_\_

Sworn to and subscribed before me this

\_\_\_\_\_ day of \_\_\_\_\_ 20 \_\_\_\_\_

Notary Public

My Commission Expires: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_



## VENDOR CONTACT FORM

### COMPANY INFORMATION

Company Name: \_\_\_\_\_

Physical Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Mailing Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Company Phone Number: \_\_\_\_\_

### AUTHORIZED REPRESENTATIVE'S INFORMATION

Authorized Representative's Name: \_\_\_\_\_

Title: \_\_\_\_\_

Direct Phone Number: \_\_\_\_\_

State of \_\_\_\_\_  
County of \_\_\_\_\_

**CERTIFICATE OF COMPLIANCE WITH THE BEASON-HAMMON ALABAMA TAXPAYER AND CITIZEN PROTECTION ACT (ACT 2011-535, as amended by Act 2012-491)**

**RE Contract/Grant/Incentive (describe by number or subject):** \_\_\_\_\_ **by and between** \_\_\_\_\_ **(Contractor/Grantee)**  
**and** \_\_\_\_\_ **(State Agency or Department or other Public Entity)**

The undersigned hereby certifies to the State of Alabama as follows:

1. The undersigned holds the position of \_\_\_\_\_ with the Contractor/Grantee named above, is authorized to provide the representations that are set out in this Certificate as the official and binding act of that entity, and has knowledge of the provisions of THE BEASON-HAMMON ALABAMA TAXPAYER AND CITIZEN PROTECTION ACT (ACT 2011-535 of the Alabama Legislature, as amended by Act 2012-491) which is described herein as "the Act".
2. Applying the following definitions from the Section 3 of the Act, the Contractor/Grantee business structure is as indicated by my initials.

**BUSINESS ENTITY.** Any person or group of persons employing one or more persons performing or engaging in any activity, enterprise, profession, or occupation for gain, benefit, advantage, or livelihood, whether for profit or not for profit. "Business entity" shall include, but not be limited to the following:

- a. Self-employed individuals, business entities filing articles of incorporation, partnerships, limited partnerships, limited liability companies, foreign corporations, foreign limited partnerships, foreign limited liability companies authorized to transact business in this state, business trusts, and any business entity that registers with the Secretary of State.
- b. Any business entity that possesses a business license, permit, certificate, approval, registration, charter, or similar form of authorization issued by the state, any business entity that is exempt by law from obtaining such a business license, and any business entity that is operating unlawfully without a business license.

**EMPLOYER.** Any person, firm, corporation, partnership, joint stock association, agent, manager, representative, foreman, or other person having control or custody of any employment, place of employment, or of any employee, including any including any person or entity employing any person for hire within the State of Alabama, including a public employer. This term shall not include the occupant of a household contracting with another person to perform casual domestic labor within the household.

- \_\_\_\_\_ a. The Contractor/Grantee is a business entity or employer as those terms are defined in Section 3 of the Act.
- \_\_\_\_\_ b. The Contractor/Grantee is not a business entity or employer as those terms are defined in Section 3 of the Act.

3. As of the date of this Certificate, Contractor/Grantee does not knowingly employ an unauthorized alien, as that term is defined in Section 3 of the Act, within the State of Alabama and hereafter it will not knowingly employ, hire for employment, or continue to employ an unauthorized alien within the State of Alabama.

*{Alien is any person who is not a citizen or national of the United States, as described in 8 U.S.C. § 1101, et seq., and any amendments thereto.}*

*{Unauthorized Alien is an alien who is not authorized to work in the United States as defined in 8 U.S.C. § 1324a(h)(3).}*

4. Contractor/Grantee is enrolled in E-Verify unless {initial the following selections which apply}:

- \_\_\_\_\_ (a) it is not eligible to enroll because of the rules of that program or other factors beyond its control.
- \_\_\_\_\_ (b) it is excused from the requirement of enrollment in E-Verify because it does not have an employee in the State of Alabama.

Certified this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
Name of Contractor/Grantee/Recipient

By: \_\_\_\_\_

Its \_\_\_\_\_

The above Certification was signed in my presence by the person whose name appears above, on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

Bid # 1641 | Charter Bus Services

WITNESS \_\_\_\_\_

\_\_\_\_\_  
Print Name of Witness

Certification Pursuant to Act No. 2006-557

Alabama Law (Section 41-4-116, Code of Alabama 1975) provides that every bid submitted and contract executed shall contain a certification that the Vendor, Contractor, and all its affiliates that make sales for delivery into Alabama or leases for use in Alabama are registered, collecting, and remitting Alabama State and Local Sales, Use, and/or Lease tax on all taxable sales and leases into Alabama. **By submitting this bid, the bidder is hereby certifying that they are in full compliance with Act No. 2006-557.** They are not barred from bidding or entering into a contract pursuant to 41-4-116, and acknowledge that the awarding authority may declare the contract void if the certification is false.

Company Name \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_



# State of Alabama Disclosure Statement

(Required by Act 2001-955)

ENTITY COMPLETING FORM

ADDRESS

CITY, STATE, ZIP

TELEPHONE NUMBER

STATE AGENCY/DEPARTMENT THAT WILL RECEIVE GOODS, SERVICES, OR IS RESPONSIBLE FOR GRANT AWARD

ADDRESS

CITY, STATE, ZIP

TELEPHONE NUMBER

This form is provided with:

☐

Contract

☐

Proposal

☐

Request for Proposal

☐

Invitation to Bid

☐

Grant Proposal

Have you or any of your partners, divisions, or any related business units previously performed work or provided goods to any State Agency/Department in the current or last fiscal year?

☐

Yes

☐

No

If yes, identify below the State Agency/Department that received the goods or services, the type(s) of goods or services previously provided, and the amount received for the provision of such goods or services.

STATE AGENCY/DEPARTMENT

TYPE OF GOODS/SERVICES

AMOUNT RECEIVED

Have you or any of your partners, divisions, or any related business units previously applied and received any grants from any State Agency/Department in the current or last fiscal year?

☐

Yes

☐

No

If yes, identify the State Agency/Department that awarded the grant, the date such grant was awarded, and the amount of the grant.

STATE AGENCY/DEPARTMENT

DATE GRANT AWARDED

AMOUNT OF GRANT

1. List below the name(s) and address(es) of all public officials/public employees with whom you, members of your immediate family, or any of your employees have a family relationship and who may directly personally benefit financially from the proposed transaction. Identify the State Department/Agency for which the public officials/public employees work. (Attach additional sheets if necessary.)

NAME OF PUBLIC OFFICIAL/EMPLOYEE

ADDRESS

STATE DEPARTMENT/AGENCY

OVER

2. List below the name(s) and address(es) of all family members of public officials/public employees with whom you, members of your immediate family, or any of your employees have a family relationship and who may directly personally benefit financially from the proposed transaction. Identify the public officials/public employees and State Department/Agency for which the public officials/public employees work. (Attach additional sheets if necessary.)

NAME OF FAMILY MEMBER	ADDRESS	NAME OF PUBLIC OFFICIAL/ PUBLIC EMPLOYEE	STATE DEPARTMENT/ AGENCY WHERE EMPLOYED
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If you identified individuals in items one and/or two above, describe in detail below the direct financial benefit to be gained by the public officials, public employees, and/or family members as the result of the contract, proposal, request for proposal, invitation to bid, or grant proposal. (Attach additional sheets if necessary.)

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Describe in detail below any indirect financial benefits to be gained by any public official, public employee, and/or family members of the public official or public employee as the result of the contract, proposal, request for proposal, invitation to bid, or grant proposal. (Attach additional sheets if necessary.)

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List below the name(s) and address(es) of all paid consultants and/or lobbyists utilized to obtain the contract, proposal, request for proposal, invitation to bid, or grant proposal.

NAME OF PAID CONSULTANT/LOBBYIST	ADDRESS
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***By signing below, I certify under oath and penalty of perjury that all statements on or attached to this form are true and correct to the best of my knowledge. I further understand that a civil penalty of ten percent (10%) of the amount of the transaction, not to exceed \$10,000.00, is applied for knowingly providing incorrect or misleading information.***

Signature	Date
-----------	------

Notary's Signature	Date	Date Notary Expires
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*Act 2001-995 requires the disclosure statement to be completed and filed with all proposals, bids, contracts, or grant proposals to the State of Alabama in excess of \$5,000.*

# ENCLOSURE E: W-9 TAX FORM

Form **W-9**  
(Rev. October 2018)  
Department of the Treasury  
Internal Revenue Service

## Request for Taxpayer Identification Number and Certification

► Go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9) for instructions and the latest information.

Give Form to the  
requester. Do not  
send to the IRS.

Print or type. See Specific Instructions on page 3.	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
	2 Business name/disregarded entity name, if different from above	
	3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only <b>one</b> of the following seven boxes.  <input type="checkbox"/> Individual/sole proprietor or single-member LLC  <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ► _____ <b>Note:</b> Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is <b>not</b> disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner.  <input type="checkbox"/> Other (see instructions) ► _____	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):  Exempt payee code (if any) _____  Exemption from FATCA reporting code (if any) _____  <i>(Applies to accounts maintained outside the U.S.)</i>
	5 Address (number, street, and apt. or suite no.) See instructions.	Requester's name and address (optional)
	6 City, state, and ZIP code	
	7 List account number(s) here (optional)	

### Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

**Note:** If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number									
				-				-	
or									
Employer identification number									
				-					

### Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person ►	Date ►
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## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9).

## Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

*If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.*